

Substitute for Form 1449 TOTRADE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 1

Application No.: 10/031,199 Filing Date: January 15, 2002

First Named Inventor: CANNING, John

Group Art Unit: Examiner Name:

Attorney Docket No.: P07482US00/MP

Exam.	Document No.	Publication Date	Name	Relevance
Initial	Number - Kind	MM-DD-YYYY	Patentee or Applicant	Passages or Figures
M	5,164,956	11-17-1992	Lang	
TM	5,768,454	06-16-1998	Chesnoy et al.	
M	5,631,762	05-20-1997	Kataoka	U.S. Equiv. of Derwent Abstract No. 95-015352/03
m	5,140,149	08-18-1992	Sakata et al.	U.S. Equiv. of EP 386797

FOREIGN PATENT DOCUMENTS							
Exam. Initial	DOCUMENT Country-Number-Kind	Publ. Date MM-DD-YYYY	Name Patentee or Applicant	Relevance Passages or Figures	Trans		
TM	WO 86/01286	02-27-1986	United Technologies				
m	GB 2 209 408	05-10-1989	The Plessey Co., PLC				
M	EP 386797	09-12-1990	Canon Kabushiki	See U.S. Equiv. 5,140,149			
		1	}				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Exam. Initial	Author Name (in CAPS), Title of Article/Item, Date, Page(s), Volume-Issue No., Publisher, City and/or Country where published			
M	Optics Communications, Vol. 171, December 1999 (Elsevier), J. Canning, M.G. Sceats, and S. Fleming, "Granting structures with phase mask period in silicon-on-silicon planar waveguides", pp. 213-217			
Th	Derwent Abstract Accession No. 95-015352/02, Classes P75, P81, DE 4419038 A (Hitachi Kiki KK) 8 December 1994 - Abstract See U.S. Equivalent 5,631,762			
	See U.S. Equivalent 5,031,702	+-		

Examiner Signature Date Considered 16/2003

^{*} Examiner: Initial if considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 1

Customized PTO/SB/08A-B (04-03)

Application Number Filing Date

10/031,199 June 27, 2002

First Named Inventor Art Unit

CANNING 2873

Examiner Name

Docket Number | P07482US00/MP

	_	U.S. PA	TENT DOCUMENTS			
Exam.	Document No. Publication Date Name				Relevance	
initial*	Number - Kind	MM-DD-YYYY	Patentee or Applicant	Passages or	Figures	
M	5,367,588	11-22-1994	Hill, et al			
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			ITERATURE DOCUMENT			
Exam. Author NAME (in CAPS), Title of Article/Item, Date, Page(s), Volume-Issue No., Initial* Publisher, City and/or Country where published					Trans- lation	
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^{*} Examiner: Initial if considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.